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**Late Holocene climate reorganisation and the North American Monsoon**

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## **Abstract**

The North America Monsoon (NAM) provides the majority of rainfall for central and northern Mexico as well as parts of the south west USA. The controls over the strength of the NAM in a given year are complex, and include both Pacific and Atlantic systems. We present here an annually resolved proxy reconstruction of NAM rainfall variability over the last ~6ka, from an inwash record from the Laguna de Juanacatlán, Mexico. This high resolution, exceptionally well dated record allows changes in the NAM through the latter half of the Holocene to be investigated in both time and space domains, improving our understanding of the controls on the system. Our analysis shows a shift in conditions between c. 4 and 3 ka BP, after which clear ENSO/PDO type forcing patterns are evident.

## **Keywords**

Mexico, North American Monsoon, Holocene, XRF scanning, ENSO, PDO, AMO

## **Highlights**

- Annual proxy rainfall record of the late Holocene North America Monsoon
- Significant variability at ~2000, ~565, ~65 and ~22 year frequencies
- Present day North American Monsoon patterns were established after 3ka BP

## 1. Introduction

The North American Monsoon (NAM) is a crucial precipitation source within its core region of Mexico and the south-west USA, providing up to 60% of annual precipitation (Metcalf et al. 2015, Fig. 3b; Ropelewski et al., 2005), and is vital to sustaining agriculture, industry and biodiversity. Climate change projections for the NAM region suggest that both increased temperatures and reduced precipitation are likely in the coming century (Karmalkar et al., 2011). Better understanding of NAM variability and its controls are therefore essential (Englehart and Douglas, 2002). High temporal resolution proxy records (e.g. Stahle et al., 2012) are necessary to identify both the long term evolution of the NAM and its variability under different climate modes.

The NAM arises from the seasonal, insolation driven, northward migration of the Intertropical Convergence Zone (ITCZ) in the Northern Hemisphere (NH) summer, the development of a thermal low over the SW USA, and the development of a strong thermal contrast off the coast of Baja California (Barron et al., 2012). Its duration and intensity are affected by conditions in both the eastern tropical Pacific and the North Atlantic (Englehart and Douglas, 2002, 2010; Mendez and Magaña, 2010). Investigations into the controlling role of the Pacific have focussed on the El Niño Southern Oscillation (ENSO) (Castro et al., 2001; Magaña et al., 2003) and the Pacific Decadal Oscillation (PDO), recognising that these are not entirely independent (Gutzler, 2004), as the PDO can be seen as an example of ENSO-type variability operating over different timescales (Castro et al., 2001; Wilson et al., 2010). In Mexico, NAM summer rainfall is reduced during El Niño events and positive phases of the Pacific Decadal Oscillation (PDO) (Castro et al., 2001; Magaña et al., 2003; Bhattacharya and Chiang, 2014) when the eastern tropical Pacific warms and the thermal gradient to the continental interior is reduced. During La Niña or negative PDO phases, summer NAM rainfall increases. NAM drivers associated with the North Atlantic, specifically the Atlantic Multidecadal Oscillation (AMO) and the North Atlantic Oscillation (NAO) (Mendez and Magaña, 2010), seem to have their greatest impact on the NAM in the summer season. Positive (warm) phases of the AMO give rise to wetter summers in central and southern

Mexico and the wider Caribbean, as the ITCZ moves north, generating more Atlantic tropical cyclones (Knight et al., 2006; Mendez and Magaña, 2010).

Understanding controls on NAM region precipitation is complicated by complex and variable connections between the two regions of NAM forcing i.e. Atlantic and Pacific Oceans (Englehart and Douglas, 2010; Stahle et al., 2012) and variability in, often localised, storm events (Curtis, 2008). It is also increasingly evident that NAM rainfall patterns are not spatially homogeneous and it has been suggested (Castro et al., 2001) that the NAM in Mexico should be treated separately from the NAM in the south-west USA, where winter rain is more significant and El Niño or positive PDO give rise to increased winter precipitation and overall wetter conditions.

Here we present an annually resolved proxy record of precipitation through the last 6000 years from the Laguna de Juanacatlán (Jalisco, Mexico) which is located close to the tropical core of the NAM (Englehart and Douglas, 2002). The record shows a marked shift in the dominant frequencies of variability between 4 and 3 cal ka BP. This change in the frequency domain coincides with a general shift in conditions through this time period to the pattern of precipitation seen today.

## **2. Site Description**

Laguna de Juanacatlán (20°37'N, 104°44'W; 2000 m.a.s.l.) is a lava-dammed lake with a maximum depth of 25–30 m, in the Sierra de Mascota close to the Pacific coast of Mexico. The basin (approximately 10 km<sup>2</sup>) is orientated in a southeast to northwest direction, with the lake occupying about 0.5 km<sup>2</sup> at the northwest end (Metcalf et al., 2010). The closest meteorological station is in Mascota (800 m lower and 12 km away) where annual average precipitation is 1026 mm/yr, of which 88% falls between June and October.

The sediments of Juanacatlán contain fine, mm scale laminations, with alternating organic, diatomaceous layers and pink clay from catchment in-wash. In addition a number of thick, cm scale, fining up layers consisting of sands and clays are present, which are interpreted as instantaneous turbidites.

Titanium (Ti) has been shown, via XRF scanning (see methods below), to mark the pink clay layers in the core and through comparison with observational, instrumental and historical records and other regional rainfall proxies through the last 2000 years, has been established as a proxy for run-off, which is derived principally from summer rainfall in this catchment (Metcalf et al., 2010). The Ti profile from high resolution XRF scanning has been shown to follow sedimentary changes, recording higher values in the pink clay layers.

### 3. Methods and results

Two parallel, continuous cores (both ca. 9 m long) were taken from the deepest part of Laguna de Juanacatlán using a Kullenberg coring system, resulting, once disturbed sections of core had been avoided and instantaneous turbidites excluded from the record, in a 7.25m continuous composite core sequence.

27 AMS radiocarbon age estimates from bulk organic matter were obtained from the core sequence, including two dates from sediment trap and core-top material to check for any reservoir effect (Fig. 1; Supplementary Table 1). Additional age control for the top of the core is supplied by clear peaks in  $^{137}\text{Cs}$  (Metcalf et al., 2010).

U-channels (2cm wide) were taken from the cores and scanned using an ITRAX XRF scanner at 200  $\mu\text{m}$  resolution (Croudace et al., 2006). An annually resolved Ti record was produced from the original 200  $\mu\text{m}$  data set between 50 and 5821 years BP; each 200  $\mu\text{m}$  data point was given an age from the age-depth model and then rounded to the nearest year. Annual values were then calculated as the mean value for all the data points rounded to that given year.

The resulting record of rainfall variability (Fig. 2) shows variation at all time scales from inter-annual to millennial through the last 6000 years. Wavelet analysis of the Ti record identified variation at different frequencies (Fig. 2); significant (95% confidence interval) cycles appear at ~2000, ~565, ~105 and ~65 and ~22 years through large parts of the record (Fig. 3).

#### 4. Discussion

The striking feature of the Juanacatlán Ti record is the change between 3 and 4 cal ka BP that marks a shift in the dominant frequencies of variability (Fig. 3). This period, particularly between 2.8 and 3.8 cal ka BP, is also a time during which overall precipitation apparently reduced (Fig. 2a), recording the lowest average Ti values for any individual 1000 year period in the record. Frequencies similar to the significant multi-centennial and millennial frequencies (~565 and ~2000 years) found in the Juanacatlán record, which both increase notably in strength after 3ka BP, have been observed elsewhere regionally in the Gulf of Mexico (Poore et al., 2004) and Chihuahua, northern Mexico (Castiglia and Fawcett, 2006) as well as in Lake Pallcacocha, Ecuador (Moy et al., 2002; Fig. 4). Interestingly, the ~200 year cycle, reported from other parts of the NAM region and often associated with solar activity (e.g. Jimenez-Moreno et al., 2008), is not evident here.

The Juanacatlán record has comparative cycles to the Pallcacocha red intensity record (Fig. 4); the two are out of phase in the 2000 yr cycle, with periods of increased rainfall at Juanacatlán associated with reduced rainfall periods at Pallcacocha, as would be expected from a modern day ENSO type forcing. The millennial periods of enhanced NAM rainfall at Juanacatlán, which increase in strength after 3 cal ka BP (Fig. 2 and 3), are also associated with warmer phases of the multi-millennial variability in the North Pacific Gyre (Isono et al., 2009), again consistent with ENSO/PDO type forcing patterns. Carre et al. (2014), Cobb et al. (2013), and Koutavas and Joanides (2012) have also all show an increase in ENSO variance at around 3 cal ka BP.

Further evidence of the links between rainfall at Juanacatlán and Pacific forcing post 3 cal ka BP comes from a comparison of the Juanacatlán Ti record with a tree ring PDO reconstruction (MacDonald and Case, 2005) over the last millennium (Fig. 5), showing similarity in significant periodicities at centennial time scales, and to a lesser extent at 26 and 40 years (Fig. 5). These periodicities are rarely dominant at Juanacatlán prior to 4 cal ka BP, but do become more important after 3 cal ka BP. The period of most persistent positive PDO



values, AD 1400 – 1600 was marked by a dry phase at Juanacatlán (Fig. 5), again consistent with Pacific, ENSO type, forcing of the NAM.

Spatial variability in change through the 4-3 cal ka BP transition also points to a Pacific forcing of regional precipitation. Plotting changes over this period across the wider tropical Americas (Fig. 6) reveals substantial evidence for drying in the present day summer rainfall region of the North American Tropics (NAT). Together with cooling in the Gulf of Mexico and the onset of wetter conditions in the southern hemisphere summer rainfall zone, this is consistent with the southward migration of the ITCZ during the later Holocene (Haug et al., 2001) and the onset of more variable conditions (Lozano-Garcia et al., 2013; Metcalfe et al., 2015), a pattern also observed in other monsoon systems (McRobie et al., 2015). At the same time, records from the northern margin of the NAM region (where winter precipitation is more important) also indicate a shift to wetter conditions, which has been attributed to stronger ENSO or ENSO-type variability, including the PDO (Barron and Anderson, 2011).

However, both the PDO (Minobe, 1999) and the AMO (Gray et al., 2004) are potential drivers of the 60-70 year multi-decadal variability which is more important at Juanacatlán prior to 4 cal ka BP. The AMO is increasingly invoked as a driver of change in the predominantly summer rainfall regions of the NH tropical Americas (Stahle et al., 2012) and also the SW USA (Oglesby et al., 2012). Both the persistence of the AMO over most of the Holocene and its global signature have been emphasised (Knudsen et al., 2011; Wyatt et al., 2012). A similar pattern of reduced multidecadal variability, between 3.5 and 4.5 cal ka BP, followed by increased significance of bidecadal cyclicity in the late Holocene has been observed in the Pacific Northwest (Stone and Fritz, 2006), raising the possibility that the change in dominant multi decadal frequency is linked to changes in PDO frequency, rather than a link to more dominant Atlantic forcing. Insufficient data are currently available to fully resolve this issue although Bernal et al. (2011) interpret a shift in  $\delta^{18}\text{O}$  at 4.3 ka in the Cueva del Diablo in southwest Mexico as marking a decoupling of local moisture from North Atlantic events to a more Pacific controlled precipitation regime.

It has been suggested that the last 6000 years may be marked by a change in overall variability in the climate system brought about by a shift from external to internal forcing (Wanner, et al., 2008; Debret et al., 2009). Despite some correlation through parts of the last 1000 years (Metcalfe et al., 2010), there is no clear relationship between solar variability and the 6000 year record from Juanacatlán (Supplementary Figure), which is consistent with the lack of a 200 year solar cycle (see discussion above), and of a dominantly internal forcing regime for this longer time period. Evidence for a significant climate shift around 4 cal ka BP has been identified across the tropics and sub-tropics (e.g. Liu and Feng, 2012; Ponton et al., 2012), with drier conditions in the northern hemisphere and wetter conditions in the southern hemisphere tropics, consistent with a southward shift in the ITCZ (Fig. 6; Abbott et al., 2003). The Juanacatlán Ti record, the first high resolution record of the NAM tropical core through this time period, shows that the period between 4 and 3 cal ka BP marks a reorganisation in climate against a background of declining NH summer insolation and a reduced seasonality of insolation. This weakening of external forcing (Donders et al., 2008) apparently provided the context for the development of strong ENSO-type forcing of the NAM. de Boer et al. (2014) have suggested a similar pattern from records in the Indian Ocean with decoupling of ENSO from the Atlantic ITCZ ~ 2,600 cal yr BP.

## **5. Conclusions**

Given the complexity of the NAM system and uncertainty about its forcings and their internal relationships (Arias et al., 2012) high-resolution records with excellent chronological control such as the Juanacatlán sequence are vital for robust mechanistic interpretations. Our evidence points to a shift to predominantly Pacific forcing of the NAM between 4 and 3 cal ka BP, following a period where the region of dominant forcing is less clear. This shift gave rise to the present day climatic configuration of the NAM region where complex interactions of climate controls results in differential climate responses to the same forcings across Mexico and the SW United States.

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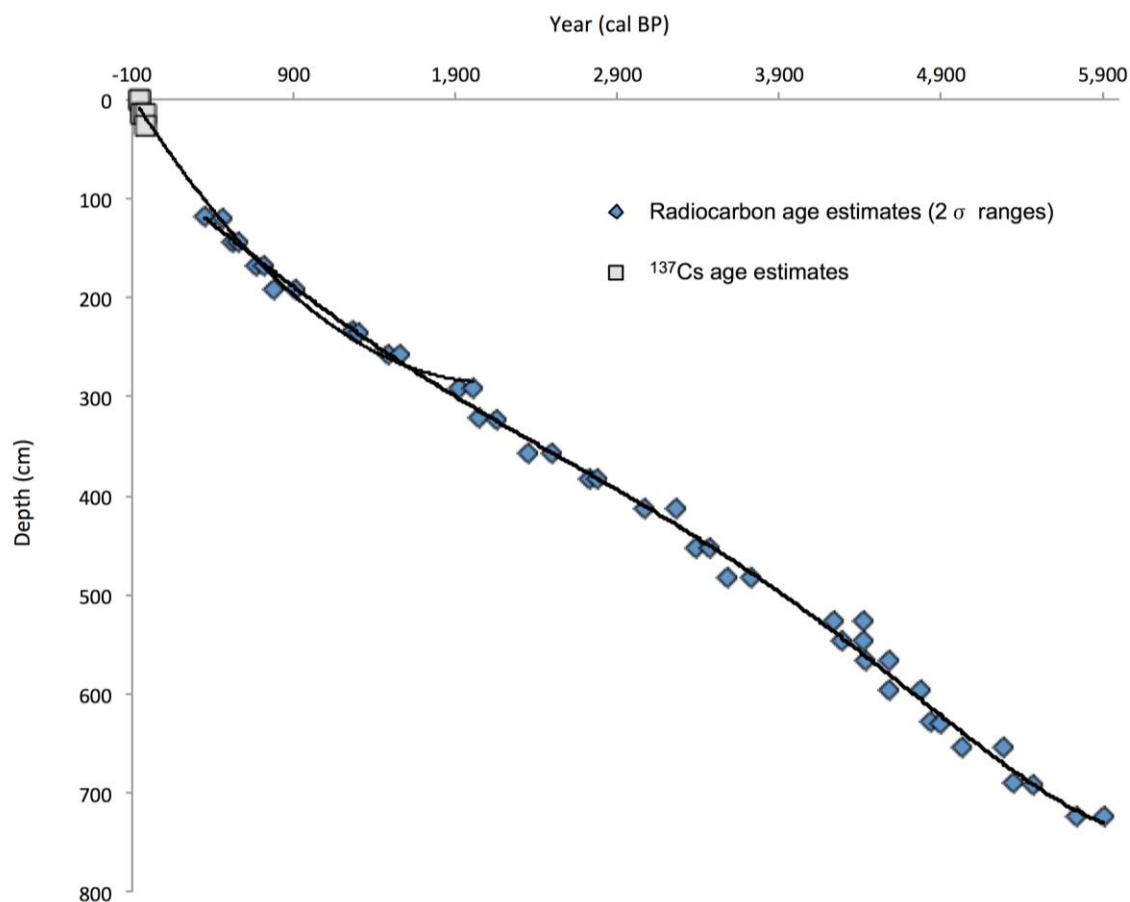
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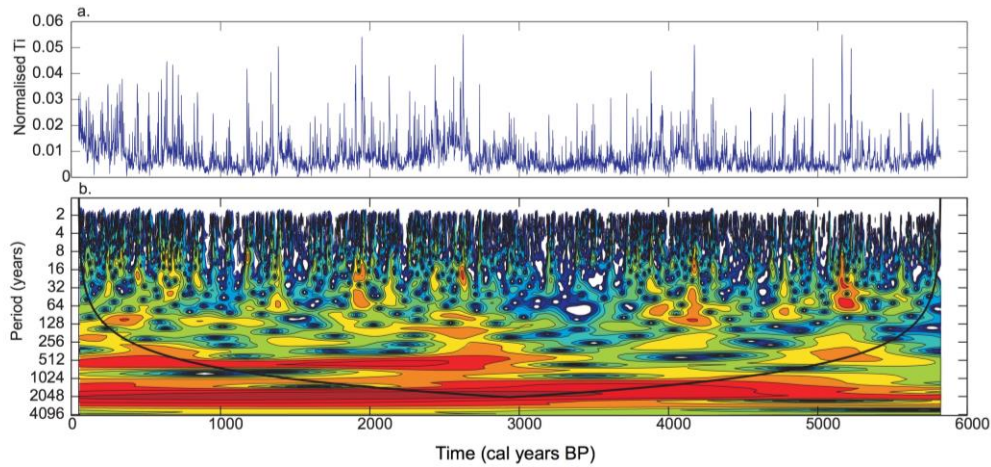
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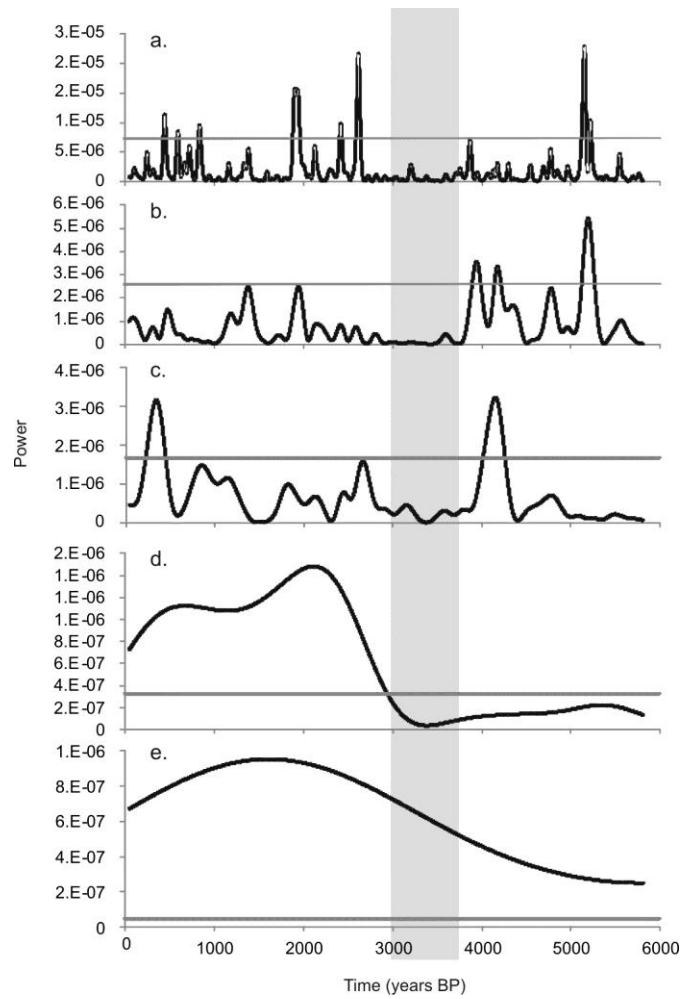
## Figures



**Figure 1** Age-depth model for the Juanacatlán core sequence. The model is based on a 2<sup>nd</sup> order polynomial trend at the top of the core, until 262.21 cm, and then a 5th order polynomial model through the 2  $\sigma$  age ranges as shown. The full list of radiocarbon dates from the Juanacatlán sequence can be found in Supplementary Table 1.

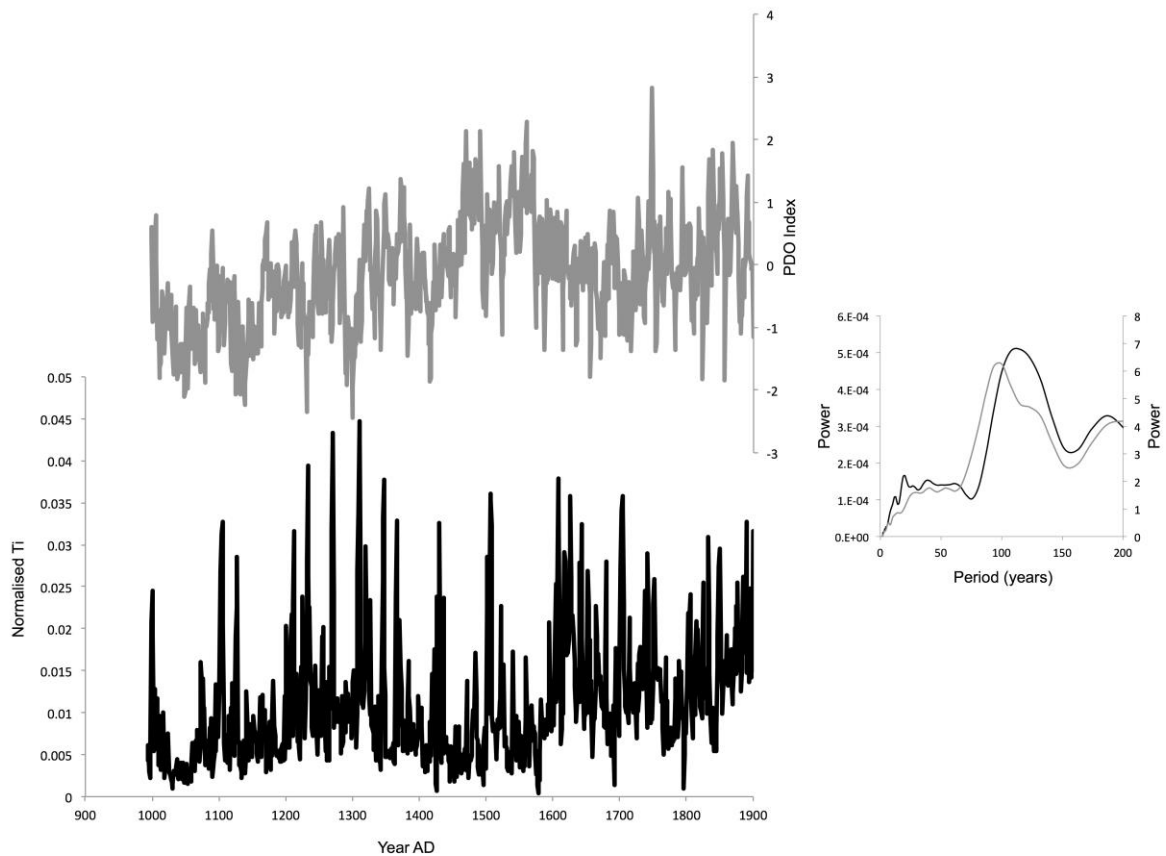


**Figure 2** The annual Juanacatlán Ti record (a), shown here as the Ti peak area normalised to the incoherent peak area (equivalent to Compton scattering) from the XRF (Supplementary Data) and a wavelet analysis of this data (b), using a Morlet wavelet in the Matlab code of Torrence and Compo (1998). The time periods when the dominant frequencies (red in this figure) are statistically significant are shown in Figure 3.

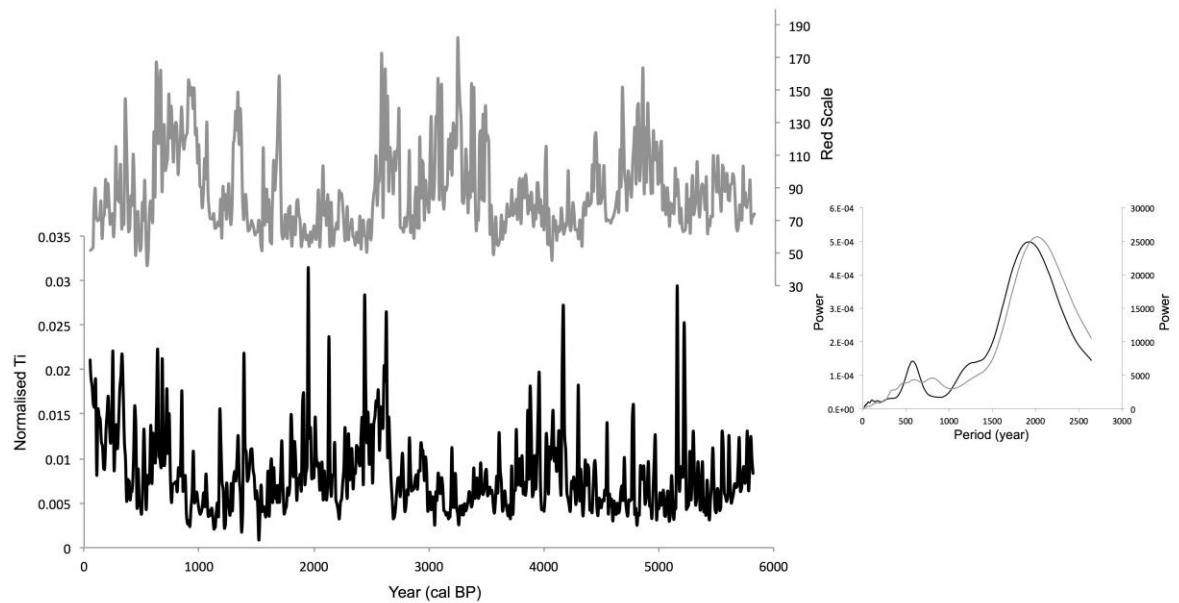


**Figure 3** Varying strength of the significant periodicities in the Juanacatlán Ti record (Fig. 2).

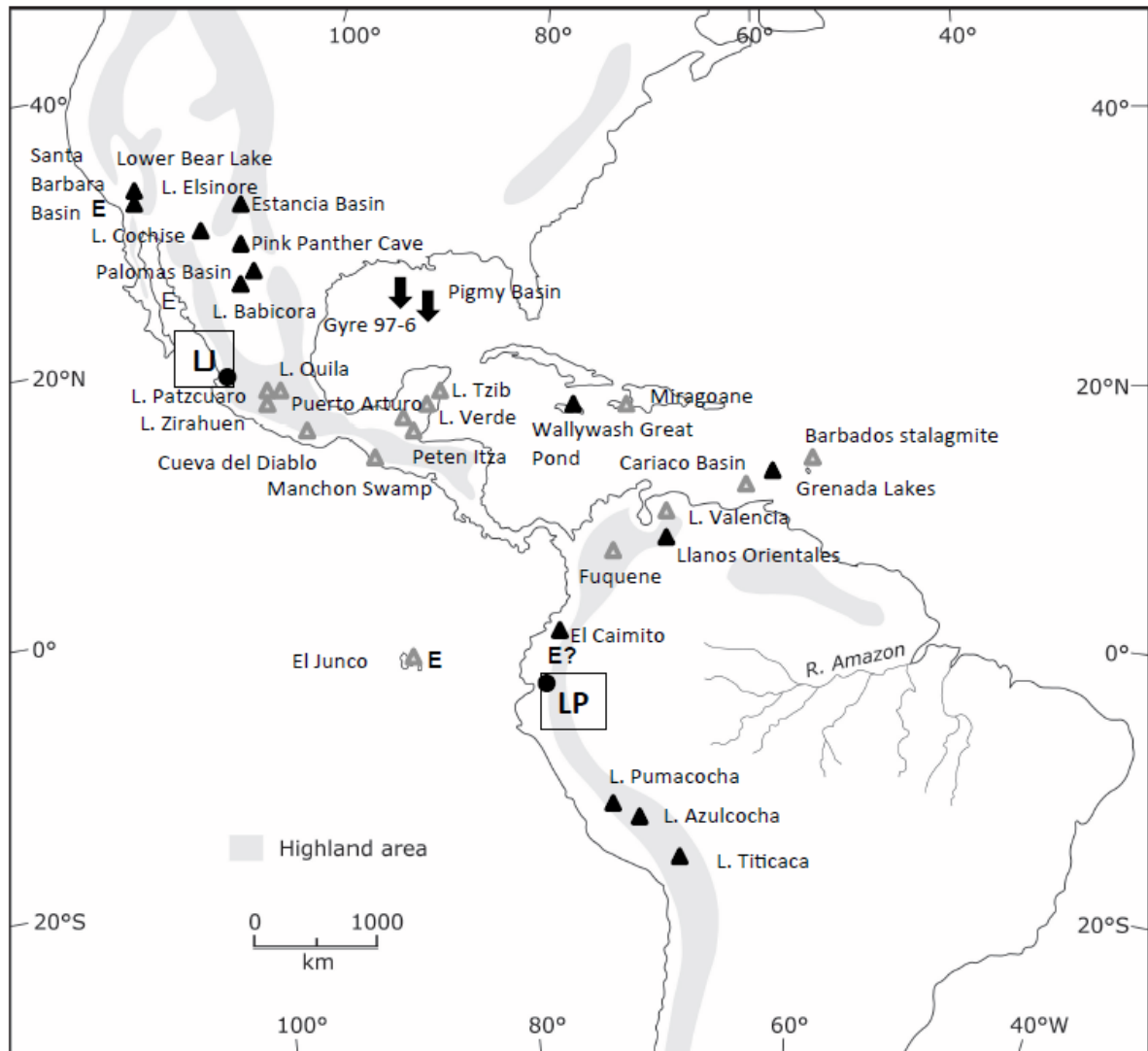
a) 20 – 25 year b) 60 – 70 years c) 100 – 115 years d) 530 – 600 years e) 1850 – 2110 years. Significance levels (at the 95% confidence limit) are shown by the grey lines in each plot. The transitional zone between 4 and 3 cal ka BP is shaded for reference.



**Figure 4** Comparison of the Juanacatlán Ti record (black line) with the PDO reconstruction of MacDonald and Case (2005) (grey line) between AD 993 and AD 1900. Also shown is a comparison of the global wavelet power spectrum of the two time series, showing their similarities; although none of the peaks in this plot are significant at the 95% confidence limit.



**Figure 5** Comparison of decadal smoothed Juanacatlán Ti and Pallcacocha red scale (Moy et al., 2002) records through their common time period (50-5820 cal year BP). Also shown is a comparison of the global wavelet power spectrum of the two time series, showing their similarities. Only the c. 2000 year periodicities are significant at the 95% confidence limit when using the decadal smoothed data.



**Figure 6** Spatial analysis of changes in climate conditions between 4 and 3 cal ka BP (sites and references are listed in Supplementary Table 2). LJ = Laguna de Juanacatlán, LP = Laguna Pallcacocha. Black triangles mark sites which get wetter through this time period, grey triangles sites which get drier. E indicates increasing ENSO activity. Downward pointing arrows indicate decreasing temperatures.